

## SUBSTITUENTS EFFECT ON THE CLAISEN REARRANGEMENT

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(Received in UK 4 December 1989)

Abstract. The Claisen rearrangement of six homogeneous series of (R,S) or (R,R) 1,4-diaryl-6-isopropenyl-6-methyl-5,6-dihydro-4H-pyran[2,3-c]pyrazoles (1-6) to give 1,2-dimethyl-5-arylcyclohexene-4-spiro-4'(1'-aryl-5'-pyrazolones) (7-12) was kinetically investigated at 125 °C in C<sub>6</sub>D<sub>6</sub>. When the substituents are on the 1-aryl group (1,2) the rate increased with increases in the electron-attracting character of the substituent. When the substituents are on the 4-aryl group and the configuration is (R,S) (3,5), the rate decreased with increases in the electron-attracting character of the substituent. If the configuration is (R,R) (4,6) a lower, opposite, effect was observed. All kinetic data correlate linearly with the  $\rho$  of the substituents. These results can be rationalized by assuming for the Claisen rearrangement a transition state having significant dipolar character, with a partial negative charge developed on the oxygenated fragment.

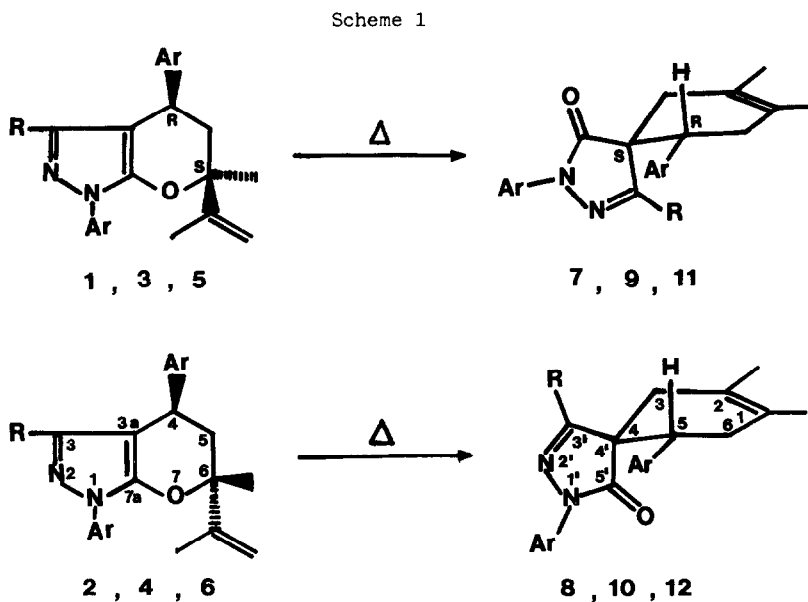
Even if the Claisen rearrangement is one of the best synthetic tools in carbon-carbon forming for its simplicity and selectivity,<sup>1</sup> some aspect of the mechanism are still argument of a serious debate. Even if a strong support for a transition state (t.s.) having significant dipolar character came from the solvent effect observed in the kinetic study of the rearrangement,<sup>2-5</sup> only few homogeneous series of substituted allyl vinyl ethers were kinetically investigated: all cyano-substituted derivatives,<sup>6</sup> and 4-, 5- and 6-methoxy-substituted compounds.<sup>3</sup>

From these kinetic data,<sup>3,6</sup> from the secondary deuterium isotope effect<sup>7</sup> and from both MNDO<sup>8a</sup> and AML<sup>8b</sup> studies of the rearrangement, four different models of the Claisen transition state have been derived.

- a) Dewar proposed a t.s., early described<sup>8a</sup> as a biradicaloid that resembles 2-oxacyclohexane-1,4-diyl with substituents favouring a polarization in the sense of a positive charge delocalized on both oxygen and the adjacent carbon atom, and later described<sup>8b</sup> as a species having intermediate character between aromatic and biradicaloid.
- b) Gajewsky and Conrad<sup>7</sup> proposed an early t.s. which resembles two allyl radicals.

- c) Burrows and Carpenter,<sup>6,9</sup> a delocalized t.s. with the phenyl anion as model.
- d) Finally Coates, Curran and co-workers<sup>3</sup> and the Cornell group<sup>4</sup> proposed a pericyclic t.s. with pronounced dipolar character, the negative charge being delocalized on the oxygenated fragment. A similar t.s. can rationalize the substituent effect shown by the rearrangement of substituted cinnamylphenyl ethers.<sup>10,11</sup>

In a previous paper<sup>12</sup> we reported the results of the reaction between 1-phenyl-4-arylidene-5-pyrazolones and 2,3-dimethylbutadiene. Depending upon substituents and experimental conditions, one or both heterodienic adducts (4R,6S)<sup>13</sup> and (4R,6R) 1,4-diaryl-6-isopropenyl-6-methyldihydropyran[2,3-c]pyrazoles (1,3,5 and 2,4,6 respectively) were obtained together with one or both Diels-Alder products (4S,5R) and (4R,5R) 1,2-dimethyl-5-arylcyclohexene-4-spiro-4'(1'-aryl-5'-pyrazolones) (7,9,11 and 8,10,12 respectively). When the former ones are heated at temperatures above 100 °C, they rearrange stereospecifically to the latter ones (Scheme 1).



Hence, a cleavage of the O7-C6 bond to form a dipolar intermediate was ruled out, as well as a cycloreversion (followed by recombination of arylidene-pyrazolones and dimethylbutadiene); moreover, in the presence of ethyl vinyl ether as solvent, no trace of adduct of arylidene-pyrazolone with this, which is more reactive than 2,3-dimethylbutadiene, was observed.

Thus the rearrangement of isopropyliden-dihydropyrans 1-6 to cyclohexene-spiro-pyrazolones 7-12 is a Claisen [3.3]-sigmatropic shift.

## RESULTS

These rearrangements seemed suitable models to test the substituents effect on the reaction rate in a case in which they necessarily take place through the normally less favoured boat t.s. Thus we synthesized (using our best experimental conditions to obtain them)<sup>12</sup> six homogeneous series (1-6; Scheme 2) with different (R,S) or (R,R) configurations,<sup>14</sup> different substituents in position 3, but, mainly, with comprehensive series of substituents in the phenyl groups either in position 1 or in position 4.

All kinetics runs were studied in  $C_6D_6$  as solvent, in sealed tubes at 125°C, and followed to about 80% completion by  $^1H$ -NMR spectroscopic analysis of the disappearing dihydropyran[2,3-c]pyrazoles 1-6 versus the increasing cyclohexene-spiro-pyrazolones 7-12 (see Experimental for details).

All reactions were found to follow a first order kinetic and the rate constants reported in tables 1-3 are the average of at least three kinetic runs. Only for 4a-e, that are difficult to isolate as pure products in reasonable amounts, the k values are the average of two kinetic runs.

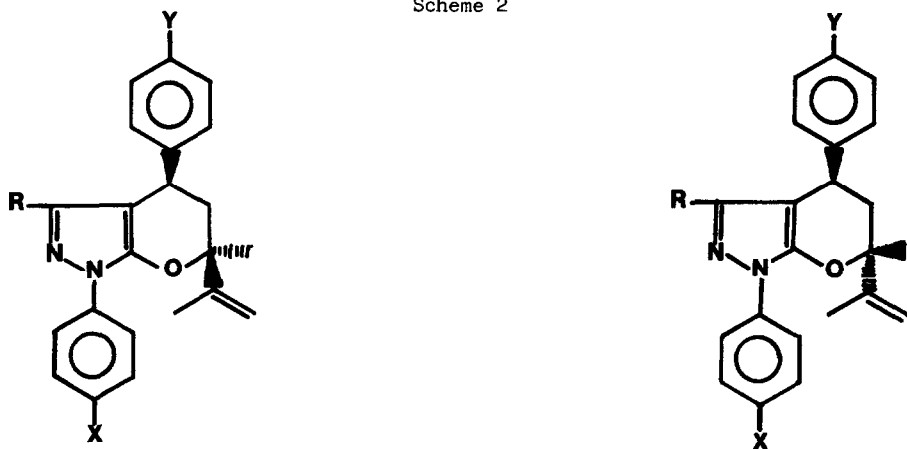
When the substituents are on the aryl group in position 1 (1, 2a-f; Table 1) the rate increased with increases in the electron-attracting character of the substituent. If log k values are plotted vs the  $\sigma_p$  constants of the substituent X, two linear relationships are obtained, either for (R,S) (1a-f) or for (R,R) (2a-f) isomers, (Fig. 1a) and their  $\rho$  values are nearly equal (0.55,  $r = 0.998$  and 0.60,  $r = 0.995$ , respectively).

When the substituents are on the aryl group in position 4, a different behaviour is observed for (R,S) and (R,R) isomers (3,5 and 4,6, respectively; Tables 2 and 3).

In the former series the rate decreased with increases in the electron-attracting character of the Y substituent. Again if log k values are plotted vs the  $\sigma_p$  constants, two linear relationships are obtained, either for the 3-H-substituted series (3a-g) or for the 3-Me-substituted one (5a-f and 1d), whose  $\rho$  values are nearly equal: -0.27,  $r = 0.990$  and -0.29,  $r=0.970$  respectively (Fig. 1b).

In both (R,R) series the behaviour is opposite (even if the effect is small) and a clear trend, indicating that the rate increased with increases in the electron-attracting character of the substituent, is observed. If log k values are plotted vs the  $\sigma_p$  constants,

Scheme 2



**1a** : R = Me; Y = H; X = NO<sub>2</sub>  
**1b** : = Me; = H; = Br  
**1c** : = Me; = H; = Cl  
**1d** : = Me; = H; = H  
**1e** : = Me; = H; = Me  
**1f** : = Me; = H; = OMe

**3a** : R = H; Y = NO<sub>2</sub>; X = H  
**3b** : = H; = CN; = H  
**3c** : = H; = Cl; = H  
**3d** : = H; = F; = H  
**3e** : = H; = H; = H  
**3f** : = H; = Me; = H  
**3g** : = H; = OMe; = H

**5a** : R = Me; Y = NO<sub>2</sub>; X = H  
**5b** : = Me; = CN; = H  
**5c** : = Me; = Cl; = H  
**5d** : = Me; = F; = H  
**5e** : = Me; = Me; = H  
**5f** : = Me; = OMe; = H

**2a** : R = Me; Y = H; X = NO<sub>2</sub>  
**2b** : = Me; = H; = Br  
**2c** : = Me; = H; = Cl  
**2d** : = Me; = H; = H  
**2e** : = Me; = H; = Me  
**2f** : = Me; = H; = OMe

**4a** : R = H; Y = NO<sub>2</sub>; X = H  
**4b** : = H; = CN; = H  
**4c** : = H; = Cl; = H  
**4d** : = H; = F; = H  
**4e** : = H; = H; = H

**6a** : R = Me; Y = NO<sub>2</sub>; X = H  
**6b** : = Me; = CN; = H  
**6c** : = Me; = Cl; = H  
**6d** : = Me; = F; = H  
**6e** : = Me; = Me; = H  
**6f** : = Me; = OMe; = H

again two fairly good linear relationships are obtained, either for the 3-H-substituted series (**4a-e**) or for the 3-Me-substituted one (**6a-f** and **2d**), whose  $\rho$  values are nearly equal: 0.15,  $r = 0.97$  and 0.13,  $r = 0.96$  respectively (Fig. 2).

#### DISCUSSION

We will discuss first the effect of the substituents on the aryl group in position 1. To convert dihydropyrans **1** and **2** into spiro-cyclohexenes **7** and **8**, the molecular

Table 1. Rate constants for the Claisen rearrangement of **1,2a-f** at 125°C in C<sub>6</sub>D<sub>6</sub>

Substituents	1	2
	10 <sup>5</sup> x k (s <sup>-1</sup> )	10 <sup>5</sup> x k (s <sup>-1</sup> )
<b>a:</b> X = NO <sub>2</sub>	9.75 ± 0.3	4.96 + 0.09
<b>b:</b> = Br	4.87 ± 0.09	2.10 + 0.02
<b>c:</b> = Cl	4.87 ± 0.05	2.18 + 0.03
<b>d:</b> = H	3.42 ± 0.04	1.51 + 0.08
<b>e:</b> = Me	2.92 ± 0.08	1.38 + 0.01
<b>f:</b> = OMe	2.62 ± 0.02	1.13 + 0.04

Table 2. Rate constants for the Claisen rearrangement of **3a-g** and **4a-d** at 125°C in C<sub>6</sub>D<sub>6</sub>

Substituents	3	4
	10 <sup>5</sup> x k (s <sup>-1</sup> )	10 <sup>5</sup> x k (s <sup>-1</sup> )
<b>a:</b> Y = NO <sub>2</sub>	11.6 ± 0.2	1.28 + 0.02
<b>b:</b> = CN	12.1 ± 0.5	1.15 + 0.02
<b>c:</b> = Cl	15.3 ± 0.5	0.98 + 0.04
<b>d:</b> = F	17.0 ± 0.5	0.97 + 0.05
<b>e:</b> = H	19.3 ± 0.6	0.96 + 0.09
<b>f:</b> = Me	21.0 ± 0.9	-
<b>g:</b> = OMe	21.6 ± 0.8	-

Table 3. Rate constants for the Claisen rearrangement of **5,6a-f** at 125°C in C<sub>6</sub>D<sub>6</sub>

Substituents	5	6
	10 <sup>5</sup> x k (s <sup>-1</sup> )	10 <sup>5</sup> x k (s <sup>-1</sup> )
<b>a:</b> Y = NO <sub>2</sub>	1.81 ± 0.02	2.01 + 0.05
<b>b:</b> = CN	2.21 ± 0.02	1.95 + 0.10
<b>c:</b> = CN	2.61 ± 0.02	1.65 + 0.10
<b>d:</b> = F	2.99 ± 0.03	1.6 + 0.1
= H <sup>a)</sup>	3.42 ± 0.04 <sup>a)</sup>	1.51 + 0.08 <sup>a)</sup>
<b>e:</b> = Me	3.78 ± 0.05	1.60 + 0.05
<b>f:</b> = OMe	3.59 ± 0.04	1.45 + 0.10

a) the series are completed with data of **1d** and **2d**

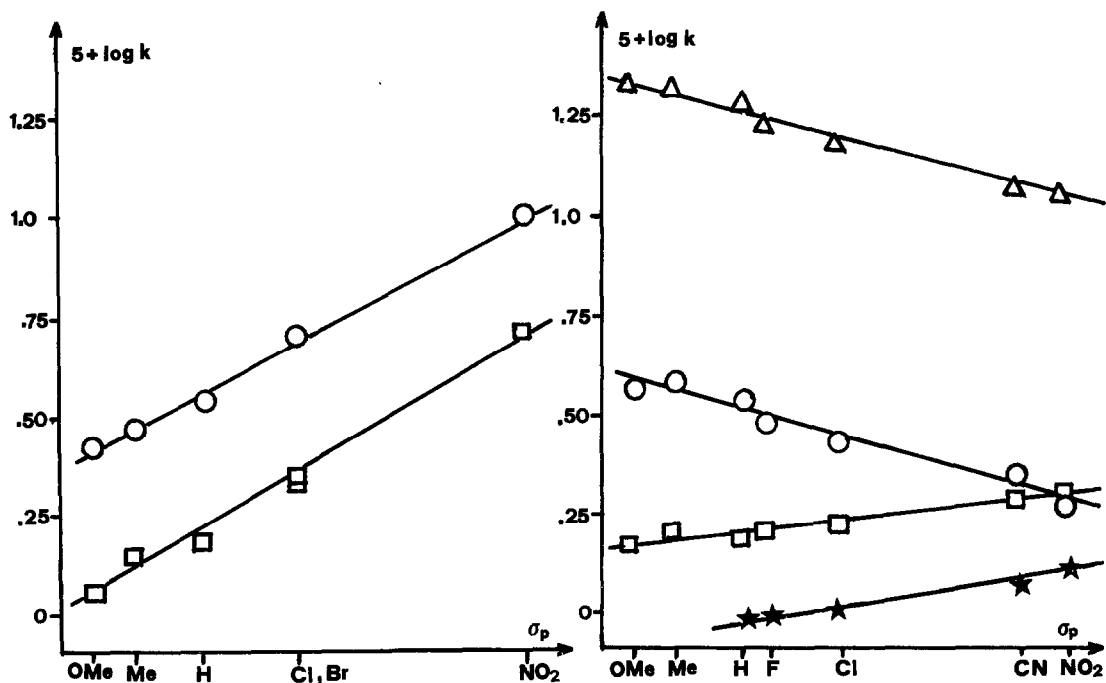


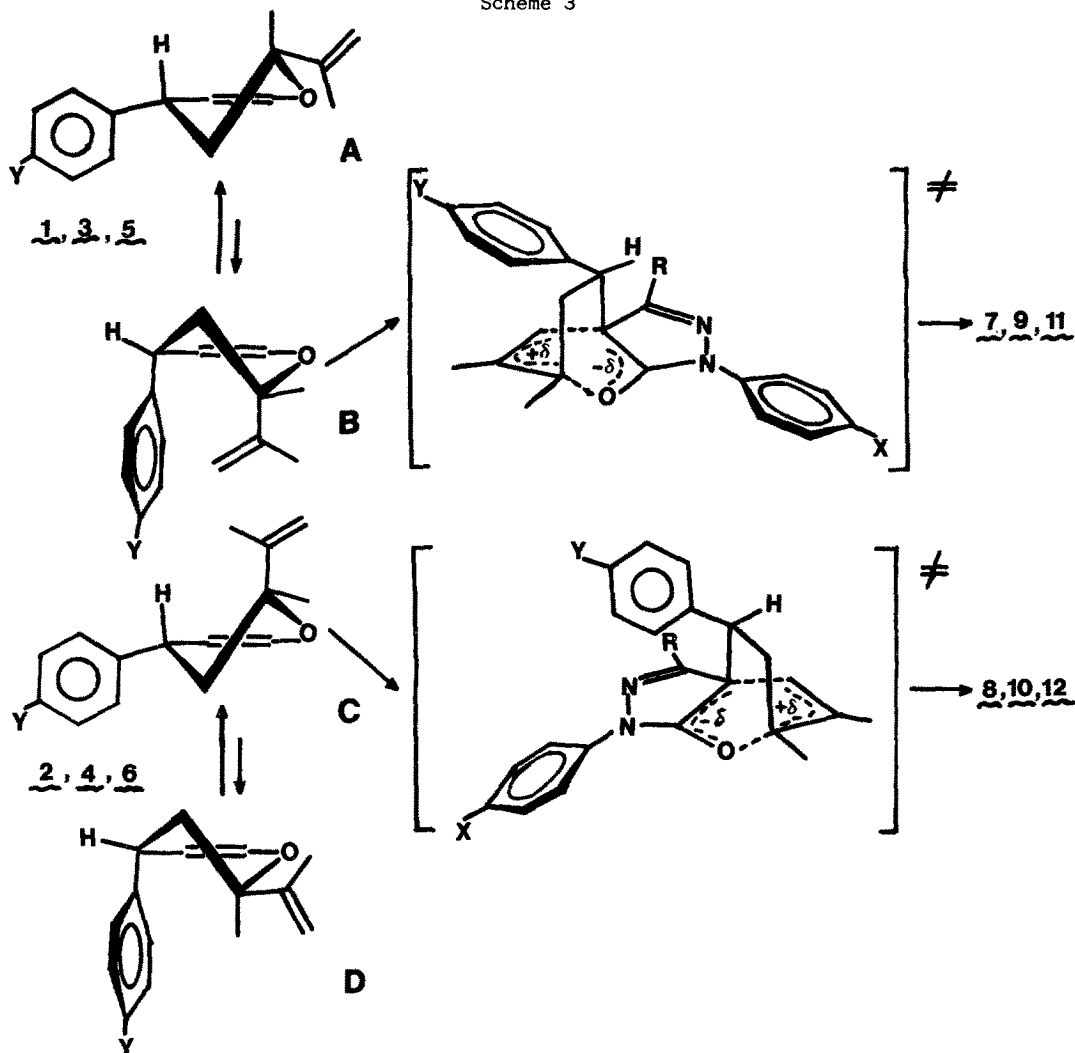
Figure 1. (a) - Rate constants of the Claisen rearrangement of 1a-f (○) and 2a-f (□) at 125°C in C<sub>6</sub>D<sub>6</sub>, plotted vs. the σ<sub>p</sub> constant of the substituents.

(b) - Rate constants of the Claisen rearrangement of 3a-g (△), 4a-e (★), 5a-f (○) and 6a-f (□) (data of 1d and 2d are added to last two series respectively) at 125°C in C<sub>6</sub>D<sub>6</sub>, plotted vs. the σ<sub>p</sub> constant of the substituents.

framework involved requires a conformation with the isopropenyl group in an axial position to allow overlap between its π-orbital and that of the carbon atom in position 3a (Scheme 3).

From the NMR spectra of 1a-f and 2a-f, both series prefer conformations with the 4-phenyl group in a "pseudo-equatorial" position (the H-4 proton has a signal consistent for an axial-axial and an axial-equatorial coupling). Hence, the dihydropyran fragment of 2a-f will adopt a half-chair conformation with the isopropenyl group in the required axial position. But 1 rearranges at a rate that is about twice faster than 2. Thus we believe that also the isopropenyl group of 1a-f should easily assume an axial position, and this occurs if the half-chair conformation with the isopropenyl group in the axial orientation is enough populated. In order to evaluate this possibility, MM2 calculations<sup>15</sup> were performed on 1d and 2d. The latter one showed a marked preference for conformation C (Scheme 3), the steric energy of conformation D was in fact 3.4 kcal/mole higher than that of C.

Scheme 3



On the contrary **1d** did not show a defined conformational preference, having the conformations A and B almost at the same steric energy (B was favoured over A by 0.1 kcal/mole). This result contrast with the NMR data that show a preference for an equatorial orientation of the 4-phenyl group. This discrepancy may be explained with the entropic contribution, not taken into account by MM2, that largely disfavours a diaxial orientation of both the phenyl and the isopropenyl group, owing to the severe limitations in their rotation.<sup>16</sup> As a consequence of this effect B becomes less populated than A; however, the non negligible amount of B existing in solution is the reactive conformation.

The effect of substituent X, that increases the reaction rate with the increase of its

electron-attracting character, can be rationalized by assuming the development of a negative charge on the oxygenated fragment in the t.s. (scheme 3). Hence these results strongly support the "Coates and Curran model" of the Claisen t.s.<sup>3</sup>

The effect of the substituents Y on the aryl group in position 4 will now be discussed.

Figure 1b shows that the rate of the rearrangement increased with the increase of the electron-releasing effect of the substituent Y in both (R,S) series 3 and 5. An opposite (but significantly lower) effect is observed in both (R,R) series 4 and 6. Again this can be regarded as a clear evidence in favour of the "Coates and Curran model".<sup>3</sup>

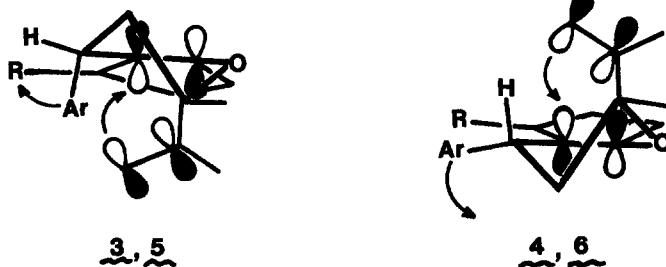
The t.s. involved in the conversion of both (R,S) series 3 and 5 (Scheme 3) has the Y-substituted phenyl ring overlapping the positively polarized fragment. Thus electron-releasing substituents will stabilize the positive charge either by a through-space "donor-acceptor" interaction or by a favourable electrostatic effect. The opposite interaction, involving the negatively polarized fragment and the overlapping (but somewhat twisted, if the more stable conformation<sup>18</sup> of the starting product is retained in the t.s.) aryl group, rationalizes the opposite (but weakened) behaviour of both (R,R) series 4 and 6.

Finally the relative rates of 3 *vs.* 5 and 4 *vs.* 6 can be rationalized as follows. Going from 3 and 5 to their t.s.s, as the orbital of the terminal olefinic carbon of the isopropenyl group overlaps the orbital of C-3a, the aryl group in position 4 moves to increase its steric interaction with R (Scheme 4). Thus the rate of 3 (R=H) is expected to be greater than that of 5 (R = Me).<sup>19</sup>

On the contrary, when 4 and 6 move to their t.s.s, as the isopropenyl group overlaps C-3a, a relief of the steric hindrance between the aryl group in 4 and R occurs (Scheme 4). Thus the rate of 4 (R=H) is expected to be smaller than that of 6 (R=Me).<sup>19</sup>

In conclusion, the rate of the Claisen rearrangement seems to be a function of both the stabilization of the charges developed in the t.s. and of the steric crowding of this.

Scheme 4





## EXPERIMENTAL

Melting points, uncorrected, were determined by the capillary method on a Tottoli apparatus. Elemental analyses were made on Erba CHN analyzer mod. 1106. IR Spectra (nujol mulls) were recorded on a Perkin-Elmer 983 spectrophotometer;  $^1\text{H-NMR}$  spectra on a Bruker WP80 SY spectrometer (chemical shifts in ppm on the  $\tau$  scale, coupling constants in Hz).

1-Phenyl-4-arylidene-5-pyrazolones. Prepared in accord with the literature method.<sup>20,21</sup>

1-Phenyl-3-methyl-4-arylidene-5-pyrazolones. Prepared in accord with the literature method.<sup>20</sup>

1-Aryl-4-benzylidene-3-methyl-5-pyrazolones. Prepared in accord with the literature method.<sup>22-25</sup> Analogously 1-p.tolyl-3-methyl-4-benzylidene-5-pyrazolone was prepared from benzaldehyde and 1-p.tolyl-3-methyl-5-pyrazolone as red needles (55%), m.p. 101-102 °C (EtOH) (Found: C, 78.4; H, 5.7; N, 10.1%. Calc. for  $\text{C}_{18}\text{H}_{16}\text{N}_2\text{O}$ : C, 78.2, H, 5.8; N, 10.1%).

Preparations of compounds 1-6. These products were prepared from the  $\text{CF}_3\text{COOH}$  catalyzed reaction of 1-aryl-4-arylidene-5-pyrazolones and 2,3-dimethylbutadiene<sup>3</sup> following the procedure described in ref. 12. The operations required to separate these adducts are reported hereto.

a) From 3-H pyrazolones the spent reaction mixture was column chromatographed (Silica gel Merck 230-400 mesh, eluant methylene chloride) and pure **9a-g** was obtained as first fraction. The second fraction (**3a-g** and **4a-g** in admixture) was submitted to medium pressure liquid chromatography (Miniprep Jovin Yvon, Silica gel Merck 0.063 mm., eluant cyclohexane ethyl acetate 98:2) and pure **4a-g** and **3a-g** were obtained in the order.

b) From 3-Me pyrazolones the spent reaction mixture was column chromatographed (Silica gel Merck 230-400 mesh, eluant methylene chloride) and the dienic cycloadducts (**7** and **8** or **11** and **12** in admixture) were obtained as first fraction and the heterodienic ones (**1** and **2** or **5** and **6** in admixture) as the second fraction. These latter mixtures were submitted to medium pressure liquid chromatography (same conditions as in a) and pure **2a-f** and **1a-f** (respectively **6a-f** and **5a-f**) were obtained in the order. The physical characteristics and the NMR spectra of compounds 1-6 not previously described,<sup>12</sup> are reported in tables 4 and 5.

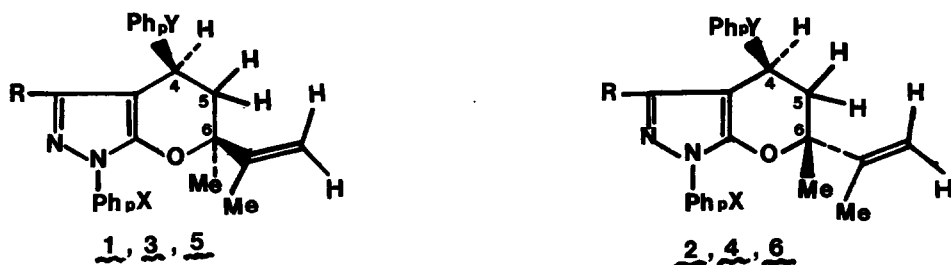
[3.3] Sigmatropic rearrangement of 1-6 to 7-12. General procedure. A mixture of 0.5 mmoles of **1-6** (0.05 mmoles for **4a-e**) and 5 mL. of dry benzene was heated on an oil bath at 125 °C in a sealed tube until the starting product completely disappeared (TLC,  $\text{CH}_2\text{Cl}_2$  as eluant). After evaporation of the solvent, the corresponding **7-12** isomers were isolated<sup>2</sup> in nearly quantitative yield. The physical characteristics and the NMR spectra of compounds **7-12**, not previously described,<sup>12</sup> are reported in tables 6 and 7.

Kinetics. A 0.1 molar  $\text{C}_6\text{D}_6$  solution of the required dihydropyran (**1-6**) in a sealed NMR tube, was placed at time zero<sup>6</sup> in an oil ultrathermostat at  $125 \pm 0.2$  °C. At appropriate time intervals (from 30 minutes to 5 hours depending on the rate of the rearrangement) the tube was quickly cooled at room temperature and the  $^1\text{H-NMR}$  was registered on a Bruker WP80 SY spectrometer. The relative concentrations of  $|\text{7-12}|$  versus  $|\text{1-6}|$  were determined from the integrals ratios of the H-5 benzylic proton of the developing product versus the H-4 benzylic proton of the disappearing starting material. These values were checked by measuring the ratio of the H-5 integral vs the integral of each of the two vinylic protons of (**1-6**). The reproducibility of the values was in the range  $\pm 3\%$ . Each kinetic determination consisted of at least six measures in the range 15-80% conversion, and the rate constants are the average of at least three kinetic runs (two for **4a-c**).

Table 4. Physical data of compounds 1-6

Compd	Yield	M.p. (solv.)		Elemental analyses
<b>1a</b>	15	149-151° (a)	for $C_{23}H_{23}N_3O_3$	calc.: C, 70.9; H, 5.9; N, 10.8% found: 71.1; 6.0 10.9
<b>2a</b>	23	162-163° (a)		found: 70.7; 5.9; 10.7
<b>1b</b>	20	156-157° (b)	for $C_{23}H_{23}BrN_2O$	calc.: C, 65.2; H, 5.5.; N, 6.6% found: 65.4; 5.3; 6.5
<b>2b</b>	32	129-130° (b)		found: 65.5; 5.6; 6.6
<b>5b</b>	23	161-162° (c)	for $C_{24}H_{23}N_3O$	calc.: C, 78.0; H, 6.3; N, 11.4% found: 77.7; 6.2; 11.3
<b>6b</b>	35	171-172° (c)		found: 78.3; 6.3; 11.5
<b>1c</b>	21	163-164° (c)	for $C_{23}H_{23}ClN_2O$	calc.: C, 72.9; H, 6.1; N, 7.4% found: 72.7; 6.2; 7.3
<b>2c</b>	34	153-154° (c)		found: 72.9; 6.2; 7.3
<b>5c</b>	18	146-147° (c)		found: 72.6; 6.0; 7.4
<b>6c</b>	27	124-125° (c)		found: 73.0; 6.2; 7.4
<b>5d</b>	25	118-119° (c)	for $C_{23}H_{23}FN_2O$	calc.: C, 76.2; H, 6.4; N, 7.7% found: 76.3; 6.3; 7.7
<b>6d</b>	35	99-100° (c)		found: 76.3; 6.4; 7.6
<b>1e</b>	25	129-130° (d)	for $C_{24}H_{26}N_2O$	calc.: C, 80.4; H, 7.3; N, 7.8% found: 80.6; 7.3; 7.9
<b>2e</b>	35	131-132° (d)		found: 80.6; 7.4; 7.9
<b>5e</b>	21	131-133° (c)		found: 80.2; 7.2; 7.7
<b>6e</b>	28	103-104° (a)		found: 80.7; 7.2; 7.7
<b>1f</b>	17	134-135° (c)	for $C_{24}H_{26}N_2O_2$	calc.: C, 77.0; H, 7.0; N, 7.5% found: 76.7; 6.9; 7.4
<b>2f</b>	25	124-125° (c)		found: 77.2; 7.1; 7.5
<b>5f</b>	22	124-125° (c)		found: 77.2 6.9; 7.4
<b>6f</b>	32	127-128° (c)		found: 77.2; 7.1; 7.6
<b>3c</b>	35	153-154° (b)	for $C_{22}H_{21}ClN_2O$	calc.: C, 72.4; H, 5.8; N, 7.7% found: 72.5; 5.8; 7.7
<b>4c</b>	5	142-143° (b)		found: 72.2; 5.7; 7.8
<b>3d</b>	38	111-112° (c)	for $C_{22}H_{21}FN_2O$	calc.: C, 75.8; H, 6.1; N, 8.0% found: 75.7; 6.2; 7.9
<b>4d</b>	7	104-105° (c)		found: 75.6; 6.1 8.2
<b>3f</b>	35	130-131° (b)	for $C_{23}H_{24}N_2O$	calc.: C, 80.2; H, 7.0; N, 8.1% found: 80.4; 7.1; 8.0
<b>4f</b>	5	oil		found: 80.6; 7.3; 7.9

(a) EtOH.(b) Diisopropyl ether.(c) Petroleum ether 60-80°.(d) Cyclohexane.

Table 5.  $^1\text{H-NMR}^{(a)}$  spectra of compounds 1-6

Compd	H-4 <sup>(b)</sup>	5-CH <sub>2</sub> <sup>(c)</sup>	CH <sub>2</sub> Vinylic <sup>(d)</sup>		6-Me <sup>(e)</sup>	Me <sup>(d)</sup>	R <sup>(e)</sup>	X/Y <sup>(e)</sup>
1a	3.68	1.82	5.05	4.8	1.20	1.57	1.86	--
2a	3.65	1.5-2.25	4.85	4.75	1.30	1.5	1.77	--
1b	3.74	1.6-1.9	5.07	4.8	1.21	1.58	1.93	--
2b	3.7	1.5-2.25	4.92	4.78	1.3	1.53	1.85	--
1c	3.72	1.5-2.0	5.05	4.77	1.20	1.55	1.9	--
2c	3.7	1.5-2.25	4.9	4.75	1.27	1.51	1.82	--
1e	3.78	1.85	5.15	4.8	1.23	1.6	1.97	2.08
2e	3.75	1.60-2.25	4.98	4.77	1.33	1.55	1.9	2.08
1f	3.80	1.87	5.15	4.80	1.25	1.6	1.98	3.3
2f	3.77	1.6-2.3	5.0	4.80	1.33	1.56	1.90	3.3
3c	3.68	1.3-2.0	5.15	4.85	1.21	1.65	(f)	--
4c	3.6	1.3-2.1	4.95	4.76	1.3	1.52	(f)	--
3d	3.65	1.4-1.9	5.10	4.80	1.18	1.60	(f)	--
4d	3.58	1.3-2.0	4.88	4.70	1.26	1.46	(f)	--
3f	3.83	1.83	5.14	4.82	1.23	1.65	(f)	2.20
4f	3.75	1.3-2.1	4.95	4.75	1.3	1.52	(f)	2.18
5b	3.6	1.4-1.9	5.12	4.82	1.21	1.58	1.82	--
6b	3.58	1.35-2.1	4.92	4.77	1.32	1.58	1.74	--
5c	3.64	1.4-1.9	5.14	4.82	1.24	1.62	1.92	--
6c	3.56	1.3-2.1	4.9	4.72	1.25	1.47	1.75	--
5d	3.70	1.6-2.1	5.18	4.85	1.25	1.63	1.95	--
6d	3.60	1.2-2.1	4.9	4.73	1.27	1.5	1.8	--
5e	3.84	1.93	5.18	4.85	1.28	1.65	2.05	2.22
6e	3.78	1.6-2.3	5.0	4.8	1.35	1.58	1.96	2.22
5f	3.82	1.93	5.2	4.88	1.3	1.67	2.04	3.42
6f	3.73	1.6-2.3	4.95	4.75	1.30	1.53	1.9	3.35

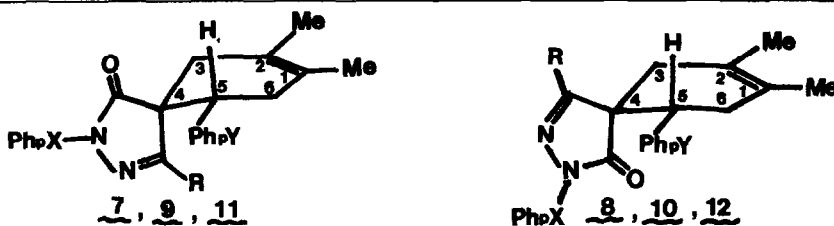
(a) In  $\text{C}_6\text{D}_6$ . (b) Doublet; triplet for 1a, e, f, 3f, 5e,f;  $J_{45} + J_{45'}$ , are always in the range 15-17 Hz. (c) Doublet for 1a, e, f, 3f, 5e,f; multiplet for the others. (d) Broad singlet. (e) Singlet. (f) Overlapped by aromatics.

Table 6. Physical data of compounds 7-12

Compd	Yield <sup>a)</sup>	M.p. (solv.)	Elemental analyses			I.R (C=O) cm <sup>-1</sup>
7a	10	146-7° (c)	for C <sub>23</sub> H <sub>23</sub> N <sub>3</sub> O <sub>3</sub>	calc.: C,70.9; H,5.9; N,10.8%		
				found: 70.6; 5.7; 10.7		1709
8a	20	212-3° (c)		found: 70.7; 5.8; 10.6		1716
7b	13	118-9° (c)	for C <sub>23</sub> H <sub>23</sub> BrN <sub>2</sub> O	calc.: C,65.2; H,5.5; N, 6.6%		
				found: 64.8; 5.5; 6.5		1711
8b	22	146-7° (c)		found: 65.2; 5.3; 6.5		1703
11b	10	165-7° (c)	for C <sub>24</sub> H <sub>23</sub> N <sub>3</sub> O	calc.: C,78.0; H,6.3; N,11.4%		
				found: 78.2; 6.1; 11.2		1700
12b	25	143-4° (c)		found: 77.8; 6.1; 11.5		1700
7c	12	103-4° (c)	for C <sub>23</sub> H <sub>23</sub> ClN <sub>2</sub> O	calc.: C,72.9; H,6.1; N,7.4%		
				found: 72.5; 6.1; 7.3		1709
8c	20	151-2° (c)		found: 73.0; 6.2; 7.3		1703
11c	15	172-3° (c)		found: 72.9; 6.0; 7.5		1705
12c	25	178-9° (c)		found: 72.7; 6.2; 7.4		1709
11d	10	127-8° (c)	for C <sub>23</sub> H <sub>23</sub> FN <sub>2</sub> O	calc.: C,76.2; H,6.4; N,7.7%		
				found: 76.4; 6.4; 7.6		1710
12d	17	162-3° (c)		found: 76.2; 6.5; 7.6		1710
7e	10	87-8° (c)	for C <sub>24</sub> H <sub>26</sub> N <sub>2</sub> O	calc.: C,80.4; H,7.3; N,7.8%		
				found: 80.3; 7.4; 7.7		1710
8e	18	132-3° (c)		found: 80.7; 7.4; 7.9		1705
11e	12	159-60° (c)		found: 80.4; 7.2; 7.7		1709
12e	25	144-5° (c)		found: 80.2; 7.4; 7.6		1710
7f	15	118-9° (c)	for C <sub>24</sub> H <sub>26</sub> N <sub>2</sub> O <sub>2</sub>	calc.: C,77.0; H,7.0; N,7.5%		
				found: 76.8; 7.1; 7.6		1696
8f	25	124-5° (c)		found: 77.0; 7.0; 7.6		1692
11f	10	129-30° (d)		found: 76.7; 7.0; 7.5		1710
12f	20	120-21° (d)		found: 76.8; 6.9; 7.6		1710
10a	(b)	142-3° (c)	for C <sub>22</sub> H <sub>21</sub> N <sub>3</sub> O <sub>3</sub>	calc.: C,70.4; H,5.6; N,11.2%		
				found: 70.3; 5.8; 11.0		1710
10b	(b)	141-3° (d)	for C <sub>23</sub> H <sub>21</sub> N <sub>3</sub> O	calc.: C,77.7; H,6.0; N,11.8%		
				found: 78.0; 6.2; 11.6		1706
9c	40	153-4° (d)	for C <sub>22</sub> H <sub>21</sub> ClN <sub>2</sub> O	calc.: C,72.4; H,5.8; N,7.7%		
				found: 72.2; 5.9; 7.6		1710
10c	(b)	83-4° (c)		found: 72.7; 6.0; 7.6		1700
9d	39	97-8° (c)	for C <sub>22</sub> H <sub>21</sub> FN <sub>2</sub> O	calc.: C,75.8; H,6.1; N,8.0%		
				found: 75.7; 6.0; 8.1		1695
10d	(b)	129-30° (c)		found: 75.9; 6.2; 7.9		1700
10e	(b)	130-31° (c)	for C <sub>22</sub> H <sub>22</sub> N <sub>2</sub> O	calc.: C,80.0; H,6.7; N,8.5		
				found: 80.3; 6.9; 8.4		1705
9f	40	120-21° (d)	for C <sub>23</sub> H <sub>24</sub> N <sub>2</sub> O	calc.: C,80.2; H,7.0; N,8.1		
				found: 80.1; 7.0; 8.0		1704

(a) The reported yields are from the CF<sub>3</sub>COOH-catalyzed reaction of 1-aryl-4-arylidene-5-pyrazolones and 2,3-dimethylbutadiene; the yields from the [3,3]sigmatropic rearrangement are nearly quantitative.

(b) Obtained from the [3,3]sigmatropic rearrangement only. (c) EtOH. (d) Diisopropyl ether.

Table 7.  $^1\text{H-NMR}^{(a)}$  spectra of compounds 7-12

Compd	$\text{H}_5^{(b)}$	$3\text{-CH}_2, 6\text{-CH}_2$	$\text{Me}^{(c)}$	$\text{R}^{(d)}$	$\text{X/Y}^{(d)}$
7a	3.20	1.1-2.9	1.40	1.86	--
8a	2.60	1.1-2.25, 3.2 <sup>(e)</sup>	1.52 1.65	1.58	--
7b	3.28	1.1-2.9	1.45	1.90	--
8b	2.65	1.1-2.25, 3.25 <sup>(e)</sup>	1.53 1.65	1.60	--
7c	3.28	1.1-2.9	1.45	1.92	--
8c	2.63	1.1-2.25, 3.25 <sup>(e)</sup>	1.52 1.65	1.60	--
7e	3.33	1.1-2.9	1.43	1.97	1.95
8e	2.70	1.1-2.35, 3.34 <sup>(e)</sup>	1.55 1.67	1.65	2.05
7f	3.37	1.1-2.9	1.45	1.98	3.22
8f	2.70	1.1-2.25, 3.32 <sup>(e)</sup>	1.55 1.66	1.66	3.25
9a	3.05	1.0-2.75	1.43	(f)	--
10a	2.55	1.0-2.2, 2.95 <sup>(e)</sup>	1.50 1.62	6.65	--
9b	3.02	1.0-2.75	1.43	(f)	--
10b	2.55	1.1-2.25, 3.0 <sup>(e)</sup>	1.52 1.64	6.65	--
9c	3.07	1.1-2.8	1.43	(f)	--
10c	2.60	1.1-2.25, 3.08 <sup>(e)</sup>	1.50 1.62	6.67	--
9d	3.13	1.1-2.9	1.45	(f)	--
10d	2.62	1.1-2.25, 3.10 <sup>(e)</sup>	1.50 1.62	(f)	--
9e	3.21	1.1-2.9	1.45	(f)	--
10e	2.72	1.1-2.25, 3.25 <sup>(e)</sup>	1.50 1.62	6.72	--
9f	3.25	1.1-2.9	1.45	(f)	1.92
9g	3.25	1.1-2.9	1.47	(f)	3.15
11b	3.12	1.1-2.9	1.40	1.82	--
12b	2.45	1.1-2.2, 3.07 <sup>(e)</sup>	1.50 1.62	1.50	--
11c	3.20	1.1-2.9	1.43	1.86	--
12c	2.55	1.2-2.25, 3.20 <sup>(e)</sup>	1.52 1.66	1.57	--
11d	3.23	1.1-2.9	1.45	1.90	--
12d	2.58	1.2-2.25, 3.35 <sup>(e)</sup>	1.54 1.68	1.60	--
11e	3.35	1.1-2.9	1.45	1.92	1.98
12e	2.70	1.2-2.25, 3.35 <sup>(e)</sup>	1.55 1.65	1.65	1.92
11f	3.35	1.1-2.9	1.45	1.98	3.15
12f	2.70	1.2-2.3, 3.33 <sup>(e)</sup>	1.55 1.67	1.67	3.15

(a) In C.D. (b) Doubling doublet;  $J_{56} + J_{56'}$  are always in the range 15.5-18.5 Hz. (c) Broad singlet(s); broad triplet for 9a-d. d) Singlet. (e) 1H, broad triplet. (f) Overlapped by aromatics.

Acknowledgement - The authors are grateful to Dr. Lucia Zetta (CNR Milano - Italy) for NOE experiments and to National Research Council (CNR) and M.P.I. for financial support.

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